

Risk Register

COVID-19

Risk ID	Risk	Description	Probability	RAG	Impact	Comments	Date of Update	Owner
R001	Access to the Park	Risk to the Authority's reputation and public standing posed by C19 lock-down restrictions on access to the Park and constraints on the Authority's communication of their rationale	Possible	green	Moderate	Park is now open	14/07/21	Wayne Lewis
R002	Capacity Risks to Delivery	The risk to the delivery of outcomes due to partner, officer and volunteer capacity impairment from C19 impacts on resources	Possible	Amber	Severe	Hardship Fund from Welsh Govt (250k) has considerably alleviated front line services.	14/07/2021	Stephanie Evans
R003	Fraud and Cyber Security	Threats to the Authority's services, functions and financial standing due to cyber-crime including fraud and data ransom	Possible	Amber	Severe	Downgraded to possible given the recent upgrades to IT systems Staff awareness good after increasing coverage of threats and training. Systems hardened. Work still needed on email threat detection	13/07/21	Kevin Booker & Rhys Davies
R004	Lockdown Alternate Working Arrangements	Risk to the Authority's services and functions posed by C19 lock-down alternate working arrangements (capacity of staff, health and well-being of staff, efficiency of processes and systems use)	Possible	Amber	Moderate	Downgraded to Possible and Moderate. All staff continue to work from home, with access to HQ based on operational need only. Both Centres open to staff and public. All Authority and committee meetings continue to be livestreamed as virtual meetings Centres re-opened	14/07/21	Julia Gruffydd

R005	Public Health Risks at Authority Managed Sites	Risk to the public's health and wellbeing through C19 infection, road/parking congestion, problematic behaviour and other associated H&S risks arising from an easing of access restrictions and consequent overcrowding	Very Unlikely	Green	Severe	No change. The Authority is anticipating 2021 to be a busy year for visitor footfall additional staff and toilets at honeypot sites	14/07/21	Wayne Lewis
R006	Risk to Officers and Members	Risk to the health and well-being of the Authority's staff, members and volunteers, and knock-on effect on service delivery, of C19 infection on return to working from our premises	possible	Amber	Severe	Upgraded to to possible in light of the new COVID variant and the risk of officers exposing the virus to other staff, now mitigated to some extent by the vaccination programme. Staff survey completed seeking views on return to the office and/or future working arrangements. Risk assessments completed for return to HQ and Centres and are kept updated.	14/07/21	Julia Gruffydd

Corporate Services								
Corporate Governance Risks								
Risk ID	Risk	Description	Probability	RAG	Impact	Comments	Date of Update	Owner
R007	Members' compliance with code of conduct <input type="checkbox"/>	Reputation and financial impacts	Possible	Amber	Severe	Equality and diversity training has delivered to members, AW Action plan in place to support appropriate behaviour	14/07/2021	Marc Ronan
R008	Failure in Information Governance arrangements <input type="checkbox"/>	Information governance Lack of compliance monitoring across all business/service areas	possible	Amber	Severe	Interim DPO and MO arrangement has been put in place for 12 months	14/07/2021	Marc Ronan
R009	Business Continuity Plan and Disaster Recovery Plan	Risk to Authority services through inadequate business continuity and disaster recovery arrangements	possible	Amber	Severe	Upgraded to possible - cyber incident tested DRP and triggered required changes to IT security arrangements to reinforce BCP & DRP	13/07/21	Rhys Davies & Kevin Booker
R010	Lack of continuity of membership of the National Park Authority <input type="checkbox"/>	Risk to delivery of organisational objectives through requirement to induct new members in decision-making and encourage them to become familiar with organisational history and culture	Possible	green	Moderate	Downgraded to possible. Two changes from Powys and one from Merthyr following council AGMs in May 2021	14/07/21	Julia Gruffydd

R011	Financial constraint	Constraint on Authority's ability to deliver objectives and services due to restricted financial settlement and income	Possible	Amber	Severe	No change	07/07/2021	Elaine Standen
R012	Corporate capacity	Constraint on Authority's ability to deliver services, meet obligations and realise opportunities due to senior officer capacity	Very Likely	Red	Very Severe	No change. This remains as a high risk throughout the transition period Risk has increased from the review in January 2021. Risk possibility and impact now critical exacerbated by length of transition period	14/07/2021	Stephanie Evans
R013	Welsh Language Act	Risk to the Authority's financial and reputational standing through non-compliance with Welsh Language Act and standards	possible	Amber	Moderate	Upgraded to possible. Correspondence from WL commissioner is a timely reminder that the Authority needs to comply with WL standards JB is meeting with a representative of the commissioner to discuss our responsibilities. She will also pursue recruitment of a Welsh Language Officer to better manage this issue.	14/07/21	Jodie Bond
R014	Inadequate general Reserves	Risk to delivery of services and viability of the Authority through being unable to meet exceptional unforeseen mandatory expenditure re: planning, health and safety, redundancy, etc. due to reserves being at a level deemed inadequate by the Section 151 officer and consequent requirement to issue S114 notice	Unlikely	Amber	Severe	Downgraded to unlikely in light of the budget settlement and the forecast draft budget through to 2024. Reserves position is positive but is dependent on budget settlements for the next 2 years	07/07/2021	Elaine Standen

R015	Governance arrangements	Risk that the Authority's governance arrangements are not adequate to meet its statutory, regulatory and operational requirements	Possible	red	very severe	DPO and MO appointment for 12 months only. Governance structures require review	14/07/2021	Marc Ronan
R016	Uncertainty of Authority accommodation	The continuing uncertainty over the future of Plas y Ffynnon /changing accommodation arrangements are disruptive to its service delivery	Possible	red	Very Severe	No change Audit of staff requirements underway. Exploring possibility of feasibility funding for finding new accommodation in a town centre. Discussions and leadership for new CEO	14/07/2021	Stephanie Evans
R017	Asset management arrangements	Reputational and financial risks associated with property and land assets	Possible	Amber	Severe	Changed to amber / possible CGT reviewed the draft asset management plan and proposals from Cooke and Arkwright. The reports were taken to the Audit & Scrutiny Committee to consider at the Jan 2021 meeting. Currently there is no role on the establishment to take the work forward. Management of Visitor Centre building maintenance and improvements is undertaken in the interim by the Commercial Manager.	14/07/2021	Stephanie Evans
R018	Legal issues	The risk that legal proceedings bring negative financial and reputational impacts	Possible	Amber	Moderate	The Authority is now taking high level legal risks to ASC meetings and keeping under review under exempt items no change	14/07/2021	Marc Ronan
Health and Safety								
Risk ID	Risk	Description	Probability	RAG	Impact	Comments	Date of Update	Owner
R019	Compliance failure/litigation: external <input type="checkbox"/>	The risk to the Authority brought about by a failure in health and safety compliance in the Park as a whole resulting in the potential for litigation	Possible	amber	Very Severe	No change Procedures in place to monitor H&S	14/7/2021	Marcia Zurian

R020	Compliance failure/litigation: our estate	The risk to the Authority brought about by a failure in health and safety compliance within the Authority's land or buildings resulting in the potential for litigation	Possible	Amber	Very Severe	No change Internal process in place	14/07/2021	Marcia Zurian
Information and IT Risks								
Risk ID	Risk	Description	Probability	RAG	Impact	Comments	Date of Update	Owner
R021	Hardcopy RM	Lack of hardcopy key document management	Possible	Amber	Moderate	No change	13/07/2021	Kevin Booker & Rhys Davies
R022	Spatial data RM	Poor decision making due to lack of ownership and upkeep of spatial data	possible	Amber	Moderate	No Change	13/07/2021	Kevin Booker & Rhys Davies

Delivery / Countryside								
Risk ID	Risk	Description	Probability	RAG	Impact	Comments	Date of Update	Owner
R025	Paths and RoW erode/deteriorate - lack of funding/mgtm plans, env impacts	also environmental impacts	Likely	Amber	Moderate	More use of paths over lockdown is leading to higher maintenance burdens	13/07/2021 No Change	Richard Ball
R026	Buildings condition and maintenance	Lack of strategic continuity planning for BBNPA buildings & structures	Possible	red	Moderate	No planned building maintenance in place, repairs are done on a reactive basis. Up to date on compliance matters (electric certificates etc) linked to R017	15/04/21 13/07/21	Stephanie Evans
R027	Disrupted access to Gunpowder Works	Risk that access dispute disrupts Authority's ability to deliver its work programme and/or has an impact on reputation, finance and other resources	Possible	Red	Severe	Draft Heads of Terms prepared for comment by external lawyers to resolve access issues.	14/07/2021	Marc Ronan
R027a	Disrupted access to Gunpowder Works	Risk that landslip has disrupted safe access to the SAM	Very Likely	Red	Severe	Measures are in place to safeguard the public, and restore access along the track	13/07/2021	Judith Harvey

R028	Short term contracts and role continuity	Business continuity and reputation risk arising from increasing reliance on fixed term project-focussed contracts	Very Likely	Red	Severe	Continues to be an issue putting pressure on the Authority's ability to deliver. New CEO starts 19/07/21 and proposals for new senior team to NPA on 9/08/21	14/07/2021	Stephanie Evans
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Planning								
Risk ID	Risk	Description	Probability	RAG	Impact	Comments	Date of Update	Owner
R029	Risk from Members overturning planning recommendations	Members' prerogative to overturn decisions but may have financial impact if challenged	Possible	amber	Severe	No change. Dialogue and training continuing with existing and new member to seek to manage ongoing risk	13/07/21	Tracy Nettleton
R032	Degradation of cultural and historical heritage, including buildings at risk.	Lack of resource	Possible	Amber	Moderate	Possible moderate better reflects the risk status. The Authority has approved a project plan for the HEAP, established the HEP and agreed the heritage in places grant will be delivered through the SDF mechanism. However staff resource increasingly stretched.	13/07/2021	Tracy Nettleton
R033	DM Service Performance	Risk to the Park's special qualities and Authority's reputation through poor DM service performance	possible	amber	Severe	No change. risk remains - possible and amber	13/07/2021	Tracy Nettleton
R034	Enforcement Service Performance	Risk to the park's environment through poor enforcement service performance	possible	amber	Severe	No change. Risk remains - possible and amber	13/07/2021	Tracy Nettleton
R035	Personal safety of staff	Risk to staff safety in relation to site visits and interaction to members of the public	Possible	Amber	Severe	No change. The focus on remote working is on undertaking meetings virtually and complying with covid requirements when on site	13/07/2021	Tracy Nettleton
R036	Internal applications	Risk to finance, reputation and staff relations from necessity for internal applications since new legal advice	possible	Amber	Moderate	No change. The Authority has a robust mechanism in place to ensure applications are handled transparently	15/04/21	Tracy Nettleton

R037	timetable, soundness and adoption of LDP	Risks to the timetable for delivery, tests of soundness and ultimate adoption of LDP2	very likely	Red	severe	Timetable paused whilst WG and BBNPA consider the implications of NRW guidance on pollution and riverine SAC's	13/07/2021	Tracy Nettleton
R038	Environmental capacity of riverine SACs exceeded due to non compliance for acceptable phosphate levels	Risk to the functioning of the planning authority in areas of the park affected by phosphate issue. Lack of progress on planning applications leading to increased numbers of appeals and reputational risk	Very likely	Red	Severe	No change. Protocol is in place to address applications which have the potential to further impact the SACs. Communications strategy developed. Collaborative working towards solution with stakeholders	13.07.2021	Tracy Nettleton
R039	Risk associated with limitations on scheme of delegation	Risk to functioning of planning service relating to pressures placed on head of service, through limited capacity to share planning application sign off	Very likely	Red	Severe	Temporary solution put in place, but long term solution needs to be found through review of Scheme of Delegation..	13/07/2021	Tracy Nettleton